

REGISTRATION FORM

Please select one:

<input type="checkbox"/>	Theory Module + Practical Module + Examinations
<input type="checkbox"/>	Theory Module Only + Examination
<input type="checkbox"/>	Practical Module Only + Examination
Refreshers & Re-sits:	
<input type="checkbox"/>	Re-sit: Includes - Practical Module + Examination
<input type="checkbox"/>	Re-sit: Includes – Theory Module + Examination
<input type="checkbox"/>	Refresher (<i>For Licensed Principals & Representatives Only</i>): Theory + Practical Modules Only (no Exam)

**Theory Module: 21 to 25 October 2019; Practical Module: 28 to 29 October 2019;
Examinations: 7 & 8 November 2019**

NOTE: Both Modules in addition to the Practical Examinations will be done via Zoom Web Conferencing. The Examinations for the Theory Module will be done in the Registrants' Home Country. There may be an additional cost for Exam Centre fess, if required.

Candidate Information

Full Name: _____ Title: _____
Last First M.I. Mr/Miss/Mrs

Address: _____
Street Address

City Country

Phone: _____ Email: _____

Name of Employer: _____

Job Title: _____ No. of Years in Position: _____

Description of Duties: _____

Do you have a first degree in Finance or similar certification? YES NO If no, do you have experience working in an Accounting of Financial capacity? YES NO

Do you have any prior knowledge of securities and capital markets? YES NO If yes, briefly describe how the knowledge was acquired.

Do you intend to become licensed to operate within the ECSM? YES NO If yes, when? _____

Education

College: _____ Address: _____

From: _____ To: _____ Date of Completion: _____ Degree: _____

Other: _____ Address: _____

From: _____ To: _____ Date of Completion: _____ Degree: _____

Relevant Certifications

Please list relevant certifications

Type of Certification: _____

Institution: _____

Type of Certification: _____

Institution: _____

Workshop Fees & Payment Instructions

- | | |
|---|--------------|
| 1. THEORY MODULE + PRACTICAL MODULE + EXAMINATIONS: | EC\$3,600.00 |
| 2. THEORY MODULE ONLY + EXAMINATION: | EC\$2,100.00 |
| 3. PRACTICAL MODULE ONLY + EXAMINATION: | EC\$2,100.00 |
| 4. RE-SIT: PRACTICAL MODULE + EXAMINATION: | EC\$1,600.00 |
| 5. RE-SIT: THEORY MODULE + EXAMINATION: | EC\$1,600.00 |
| 6. REFRESHER: THEORY AND PRACTICAL MODULES ONLY: | EC\$1,500.00 |

Payments via cheque: payable to the **Eastern Caribbean Securities Regulatory Commission**

Wire Instructions for ECD Payments:

Eastern Caribbean Central Bank

BIC Address ECCBKNSK, for credit to the account of ECSRC account number 161750002

Wire Instructions for USD Payments

Beneficiary Account name:

Eastern Caribbean Central Bank
Bird Rock
Basseterre
St. Kitts
Beneficiary SWIFT: ECCBKNSK
Account Number/ABA NUMBER: 021 083 695
For Further Credit to ECSRC Account No. 161750002
Bank: Federal Reserve Bank, New York
Bank SWIFT BIC: FRNYUS33

Kindly insert the following narrative for funds submitted via wire transfer:
“Registration for 20th ECSM Certification Programme [Name of Registrant(s)]”

Disclaimer and Signature

The Commission reserves the right to reject any registrant who fails to satisfy the minimum qualifying criteria (education and experience) for the Programme.

I certify that my answers are true and complete to the best of my knowledge, and I understand that payment of the relevant fees are non-refundable and should be submitted along with this completed Registration Form.

Signature: _____ Date: _____

Please submit completed Registration Forms on or before Monday, 14 October 2019 to:
ecsrc@eccb-centralbank.org

For Official Use By The ECSRC

Verify Payment Received: _____
[Signature]

Date Payment Received: _____

Candidate Approved: _____ YES _____ NO Signature: _____ Date: _____